

Digital Asset Personal Trading


Know Your Employee (KYE®)


Uncover and Manage Conflicts of Interest in Employee Digital Asset Trading


In today's environment, firms should operate under one clear assumption: Employees are trading crypto and other forms of digital assets.


Regulators worldwide have stepped up their oversight of crypto activities and have sent strong indicators that tighter regulation and enforcement is coming. Firms face significant conflict and reputational risk if they fail to keep pace as demands regarding employee digital asset compliance emerge. And soon, regulators will expect proof that digital asset activity is governed by the same conflict-of-interest standards as traditional securities.


MCO's Digital Asset Personal Trading gives you the tools to meet that expectation—with a workflow purpose-built for employee crypto investment oversight. With Digital Asset Personal Trading, firms can:


 **Track digital asset holdings** using a workflow tailored to preclearance and ongoing monitoring


 **Establish restriction and exemption lists** for specific tokens or assets


 **Pre-clear trades** across a wide range of digital assets using a built-in crypto asset ID database

 **Run conflict checks** against employee activity using configurable, rules-based logic

 **Recognize wallets natively**, identifying wallet IDs without needing to convert to account numbers

 **Capture an up-to-date asset list** of major cryptocurrencies and tokens

 **Capture transaction in and out of assets** with integration with all the major Exchanges and Wallets

 **Generate detailed, audit-ready reports** using standard industry data points

Whether you're an organization whose core business is crypto or a firm who is looking to ensure that the trading of digital assets is effectively monitored across your compliance program, **MyComplianceOffice can help you meet quickly evolving and increasingly rigorous regulatory and investor compliance demands.**

Manage Employee Digital Asset Trading and Trading in Traditional Securities on a Single Compliance Platform

Digital Asset Personal Trading is an all-in-one solution purpose-built for employee crypto and digital asset trading oversight, combining automated wallet discovery, on-chain activity capture and multi-chain aggregation to deliver a comprehensive view of personal cryptocurrency and digital asset trading activity.

MCO provides a complete solution for employee trading and conflict monitoring across traditional securities and digital assets on one unified platform when combined with Personal Trading Manager.

Part of a complete Employee Compliance Solution

MCO's Digital Asset Personal Trading solution is part of the Know Your Employee suite (KYE®), an integrated solution that manages personal trading, outside business activities, gifts and entertainment, political donations, attestations and other areas of employee compliance.

Integrated Compliance Management

Know Your Employee (KYE) is part of the MyComplianceOffice integrated platform that automates Third-Party and KYC compliance (Know Your Third Party® KYTP®), financial transaction and AML compliance (Know Your Transactions KYT®) and compliance oversight (Know Your Obligations® KYO). These interconnected suites with a centralized data center cost-effectively and comprehensively minimize the risk of misconduct and conflicts of interest while providing evidence of regulatory compliance.

With offices and support across the United States, Europe and Asia Pacific, we help firms of all sizes ensure compliance and meet evolving regulatory needs quickly and affordably. Contact us today to learn more.

Contact MCO today to find out how we can help your organization meet evolving regulatory needs and ensure compliance oversight quickly and affordably.

MCO (MyComplianceOffice)® provides compliance management software that enables companies around the world to reduce their risk of misconduct and effectively oversee regulatory obligations.

Our powerful platform lets compliance professionals demonstrate they are proactively managing the regulated activities of the company, employees and third-party vendors and provide evidence of regulatory compliance. Available as a unified suite or à la carte, our easy-to-use and extensible SaaS-based solutions get firms up and running quickly and cost-efficiently.

